

01/09/02
J1046 U.S. PTO

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Practitioner's Docket No. 782_211

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on January 9, 2002 under "EXPRESS MAIL" mailing label number **EL 872579572 US**.

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01/09/02
Barcode

Transmitted herewith for filing is the patent application of:

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Title: EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

7 Page(s) of Specification
2 Page(s) of Claims
1 Page(s) of Abstract
1 Sheet of Formal Drawings (Figs. 1-3)

B. Other Papers Enclosed

A Patent Application Bibliographic Data
 A Preliminary Amendment
 An Information Disclosure Statement
 PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

A combined Declaration and Power of Attorney
 A Claim for Priority and certified copies of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2001-005,944	January 15, 2001
Japan	2001-387,772	December 20, 2001

An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
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Nagoya, Aichi-Prefecture 467-8530
Japan

3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. Amend the specification by inserting, after the title and before the first line, the paragraph:

--This application claims priority from Japanese Application 2001-005,944, filed January 15, 2001, and Japanese Application 2001-387,772, filed December 20, 2001, the entireties of which are incorporated herein by reference.--

6. The filing fee has been calculated as shown below:

Basic Filing fee (37 CFR §1.16(a)--\$740.00)				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	15 - 20 =	0	× \$ 18.00	
Independent Claims	2 - 3 =	0	× \$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00
Surcharge fees (37 C.F.R. 1.16(e)--\$130.00)				\$ 130.00
[<input checked="" type="checkbox"/>] late payment of filing fee and/or [<input checked="" type="checkbox"/>] late filing of original declaration or oath				
TOTAL OF ABOVE CALCULATIONS =				\$ 870.00
[<input type="checkbox"/>] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$ 870.00
[<input type="checkbox"/>] Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				
[<input checked="" type="checkbox"/>] Assignment Fee (37 CFR §1.21(h)--\$40.00)				\$ 40.00
TOTAL FEES DUE =				\$ 910.00

7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

[] A check in the amount of _____ is enclosed.
 [] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
 [] Any additional filing fees required under 37 CFR 1.16.
 [] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



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